

ROGS IMPLEMENTATION BRIEFING 2006

Railways and other Guided Transport Systems (Safety) Regulations 2006 (ROGS)

Implementation Briefing September/October 2006

Supported by:

ATOC, C2C Rail, Chiltern Railways, EWS, First Engineering, First Group, Freightliner, Grantrail on behalf of ISLG, LUL, National Express, Network Rail, ORR, Porterbrook, South West Trains, RIA, and RSSB

Annual safety reporting

Caroline Wake (ORR) and Colin Dennis (RSSB)

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- Regulation 20 requires transport operators who need to have a safety certificate or safety authorisation to prepare and send to ORR by 30th June an annual safety report relating to the previous calendar year.
- First report to be sent by 30th June 2007 covering the period 10th April 2006 to 31st December 2006
- Applies to operators who have already obtained a safety certificate or authorisation under the Regulations and to those still operating under a safety case under the transitional provisions

Content of the Report

- a) information on how qualitative and quantitative targets for the maintenance and enhancement of safety are met and the plans and procedures for reaching those targets
- b) the results achieved through putting the transport operators safety plans into effect
- c) Statistics for the common safety indicators
- d) the findings of internal safety auditing of the safety management system
- e) comments on deficiencies or malfunctions relating to the running of vehicles or management of infrastructure relating to the operation that may be relevant to the safety of that transport system

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Points to Note

- Where an operation covers both the mainline railway and another transport system the report will need to indicate which information applies to the mainline railway.
- Common Safety Targets(CSTs) will not be available until 2009, until then transport operators should report on their own internal targets
- Transport Operators should not restrict themselves to CSTs when they come into force
- Common Safety Indicator(CSIs) data will be produced by RSSB taken from SMIS.
- Guidance will be produced on all aspects of the Safety Report

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Provision of data and information required to
meet ROGS Regulation 20(1)

Colin Dennis
Head of Risk & Safety Intelligence
RSSB

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Provision of statistics for the common safety indicators – ROGS 20 (1)(c)

- Purpose:
 - To facilitate the assessment of the achievement of the CSTs
 - To provide for the monitoring of the general development of railway safety
- The initial CSIs are outlined in Annex 1 of the Safety Directive covering:
 - Indicators relating to accidents:
 - Fatalities and major injuries
 - Damage in excess of 150,000 Euros
 - Blockage of main line for more than 6 hours
 - Indicators relating to incidents and near misses.
 - Indicators relating to consequences.
 - Indicators relating to technical safety of infrastructure.
 - Indicators relating to the management of safety

Common Safety Indicators

- The safety events recorded against the CSTs and collected as CSIs will only relate to the events associated with:

“Rolling stock in motion”

- Any event not relating to rolling stock in motion eg. stations, track maintenance, trespass etc will be excluded
- Definitions being developed by the ERA in consultation with the CSIs working group

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Provision of data – ROGS 20 (1)(c)

- Important for data to be collected and presented consistently across the IMs and RUs
- RSSB has agreed via the Safety Policy Group to provide this data to ORR for each IM and RU (to meet ROGS) and collated for the industry (to meet the Safety Directive)
- Most data will come from SMIS – additional data will initially need to be requested from IMs and RUs
- Processed data will be provided to IMs and RUs quarterly to enable trends to be monitored
- Data for year end will be provided to IMs and RUs by end of February the following year to enable checking prior to submission to ORR in June.
- First report for calendar year 2006 to ORR by end of June 2007

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Current status

- Currently undertaking pilot study for Jan to June 2006
- ERA template contains 250 data items
- Some definitions still to be defined – particularly relating to the cost of accidents
- Report to be submitted to Safety Policy Group for the 26th October meeting
- Will then be shared with IMs and RUs for review and comment
- RSSB maintaining close contact with ORR

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ROGS 20 (1) (a), (b), (d) and (e)

- (a) information on how the transport operator's safety targets are met
- (b) the results achieved through putting the transport operator's safety plans into effect
- (d) the findings of safety auditing
- (e) comments on any deficiencies or malfunctions relating to the running of vehicles or the management of infrastructure relating to the operation in question that may be relevant to the safety of that transport system

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ROGS 20 (1) (a), (b), (d) and (e)

- RSSB working with ORR to provide guidance
- Proposed principle to be applied to the reporting of safety targets will be that:

“Safety will be maintained and improved where reasonably practicable”

- Consistent with the developing CSTs and the overall principle of the Safety Directive and the Strategic Safety Plan
- Should apply to all areas of safety not just rolling stock in motion

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ROGS 20 (1) (a), (b), (d) and (e)

- If a company chooses to develop specific safety targets via their safety management system and safety plan progress against these targets should be reported in the Annual Safety Report
- Draft guidance will be provided to Safety Policy Group for review at the meeting on the 26th October
- Will then be shared with IMs and RUs for review and comment