

ROGS IMPLEMENTATION BRIEFING 2006

Railways and other Guided Transport Systems (Safety) Regulations 2006 (ROGS)

Implementation Briefing September/October 2006

Supported by:

ATOC, C2C Rail, Chiltern Railways, EWS, First Engineering, First Group, Freightliner, Grantrail on behalf of ISLG, LUL, National Express, Network Rail, ORR, Porterbrook, South West Trains, RIA, and RSSB

**SYSTEM SAFETY
AND
DUTY OF COOPERATION
FRAMEWORK**

John Abbott

System Safety....some history

- In 1994 Infrastructure Controller was provided with significant system safety responsibilities
- Since 1997 the Infrastructure Controllers system safety role has been progressively reduced

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- Current residual safety role of Network Rail as Infrastructure Controller can be summarised as:
 - RSC scrutiny
 - Interface risk monitoring
 - Limited regulatory powers (Reg 12 and 13)
 - Operation of system controls (Route Acceptance, Investigations)
- Railway Safety Case Regulations contained an obligation for duty holders to cooperate with each other

System Safety under ROGS

- Infrastructure Manager replaces Infrastructure Controller – IM no longer has any wider system safety responsibilities
- IM and Transport Undertakings are both responsible for safety of mainline railway system through:
 - Control of risk at source
 - Duty of Cooperation to maintain and improve safety of railway system

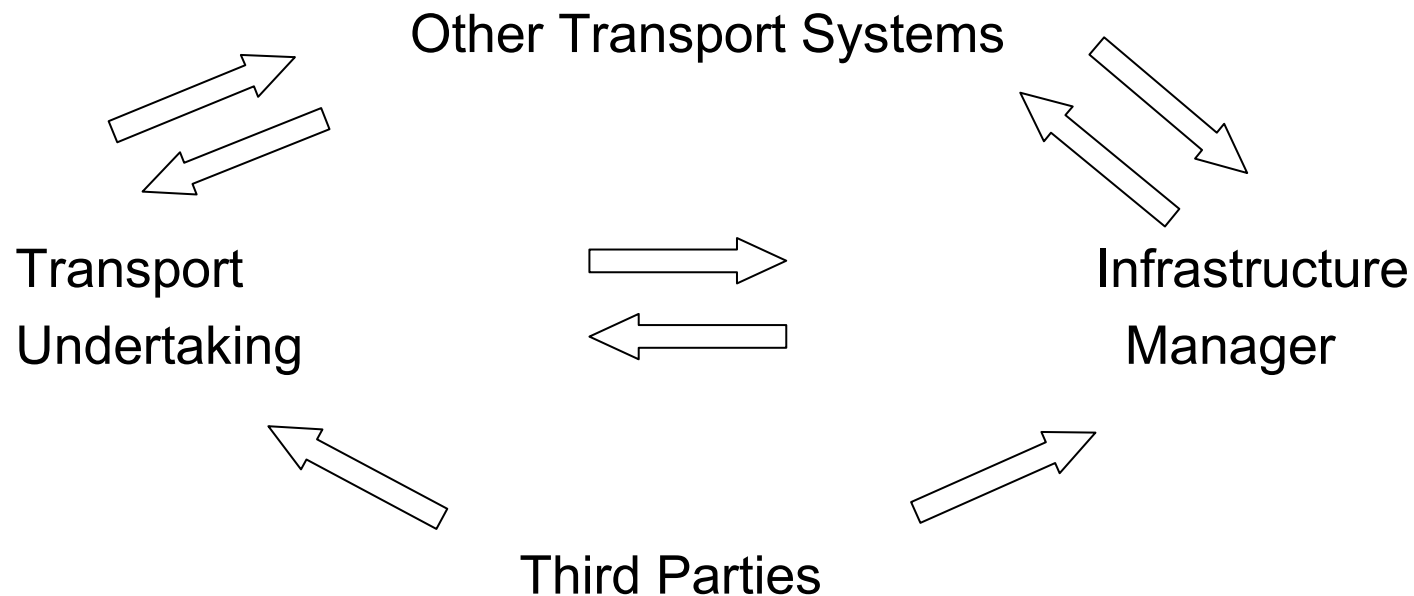
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- Safety Management System of each Transport Operator must recognise system risk
 - risk exported to others
 - risk imported from others

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In other words.....a level playing field!

MAINLINE RAILWAY SYSTEM SAFETY



The Duty of Cooperation

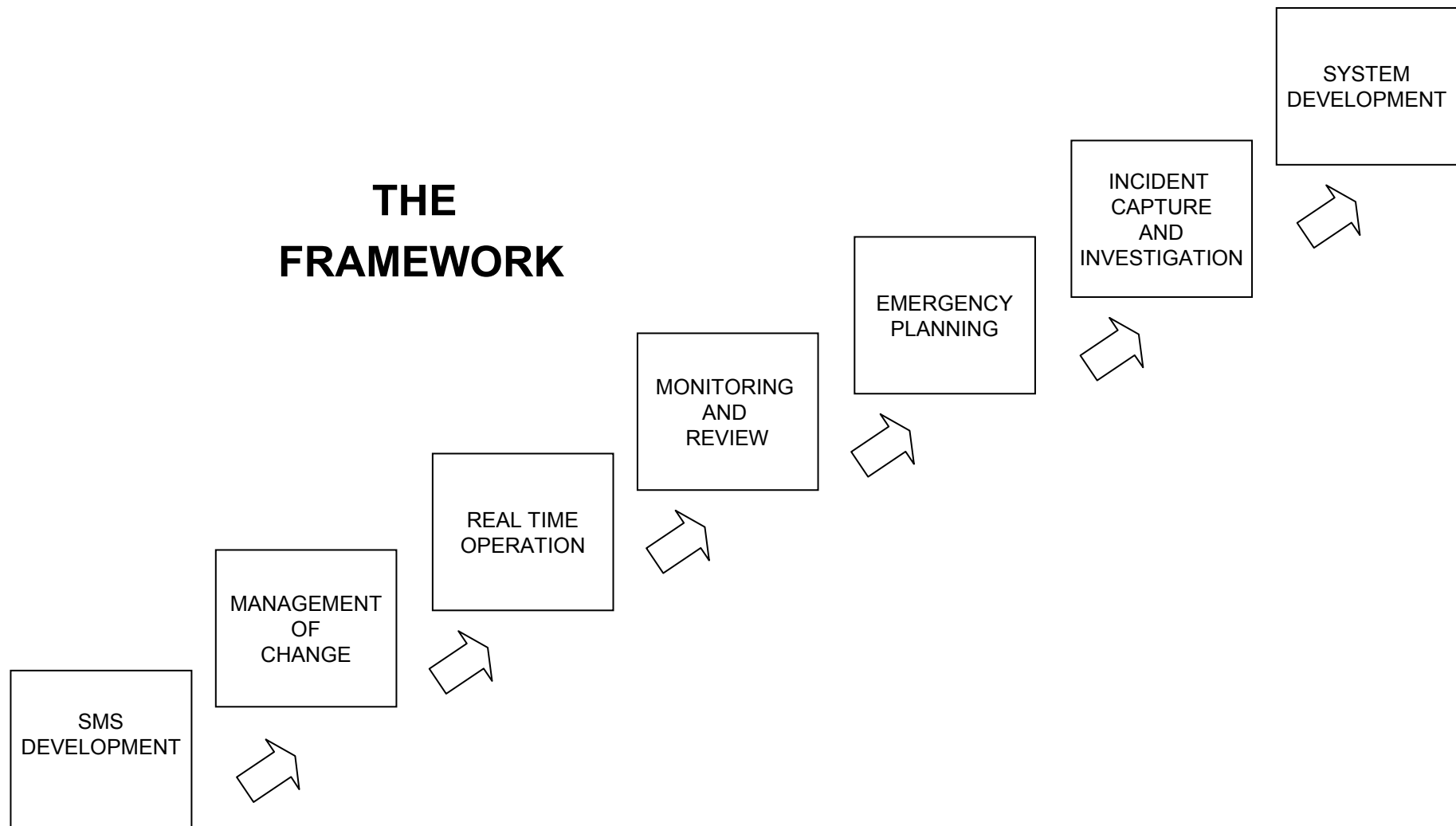
- ROGS strengthens the previous Regulatory requirement for a Duty of Cooperation
- Over the past few years Network Rail, Train Operators, Contractors and RSSB have established a variety of methods for demonstrating collaborative working....but these have never been captured into an overall framework

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- ROGS has caused Network Rail, Train Operators, Contractors and RSSB to define the overall framework of cooperation between companies
- Nothing new has been “invented” but existing arrangements have been structured into a recognisable framework

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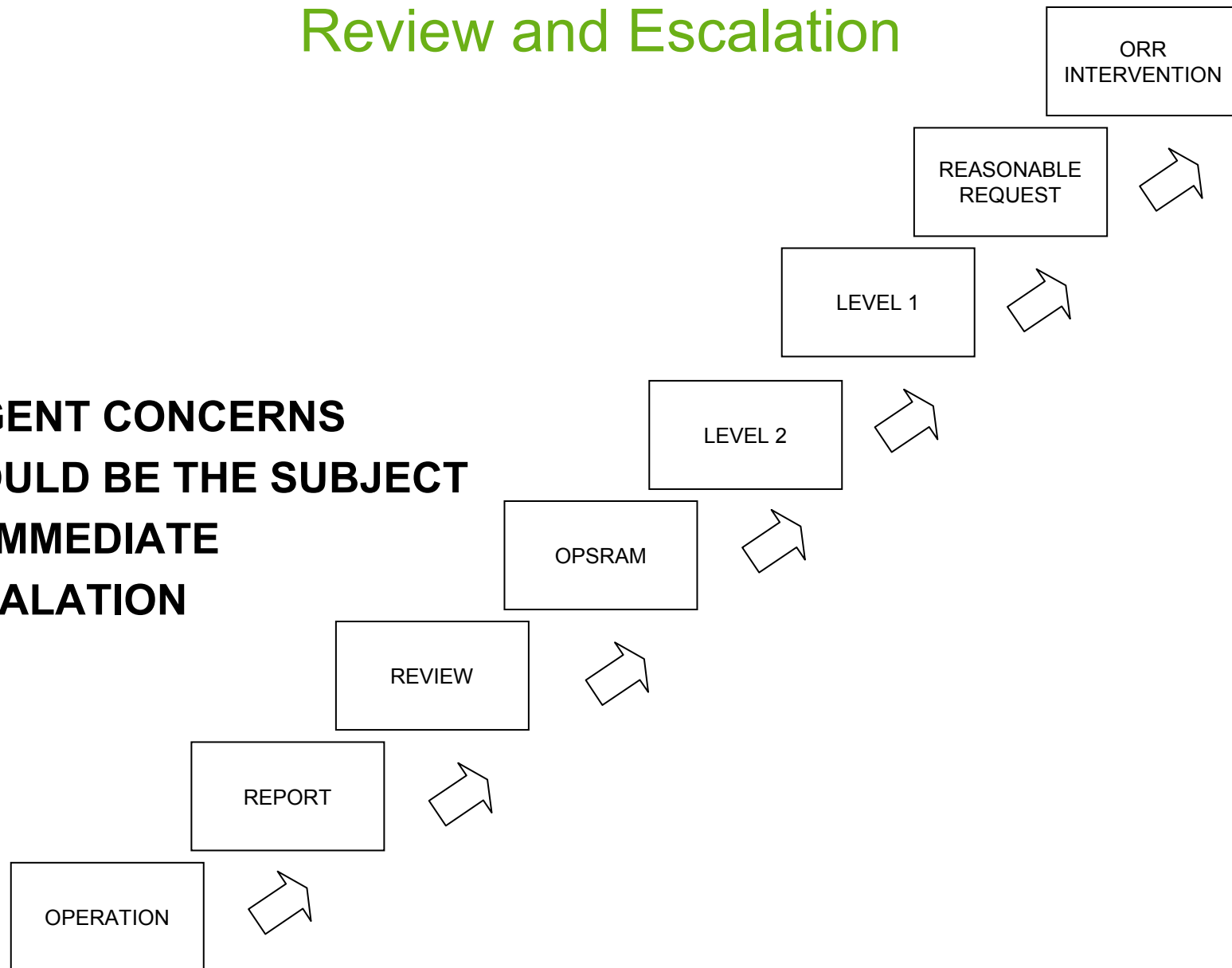
What is the framework?



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Review and Escalation

**URGENT CONCERNS
SHOULD BE THE SUBJECT
OF IMMEDIATE
ESCALATION**



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Where is it described?

- Short term
 1. Summary of framework described in RSSB “Existing RSC holder ROGS Regulations 2006 Transition Supplement” – on RSSB website
 2. Detailed description and guidance in RSSB “A Guide to the practical Implementation and operation of Regulation 22 Duty of Cooperation between Transport Operators on Mainline Network”

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- Longer Term
A Common Safety Process with links to other
CSPs