

ROGS IMPLEMENTATION BRIEFING 2006

Railways and other Guided Transport Systems (Safety) Regulations 2006 (ROGS)

Implementation Briefing September/October 2006

Supported by:

ATOC, C2C Rail, Chiltern Railways, EWS, First Engineering, First Group, Freightliner, Grantrail on behalf of ISLG, LUL, National Express, Network Rail, ORR, Porterbrook, South West Trains, RIA, and RSSB

Timescales for implementation and transition arrangements

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Transitional arrangements

- Structure of ROGS:
 - Part 1 definitions (Regs 1 and 2);
 - Part 2 Certification and authorisation (Regs 3 to 21);
 - Part 3 Risk assessment, annual reporting, duty of cooperation(Regs 19 to 22);
 - Part 4 Safety critical work (Regs 23 to 26);
 - Part 5 Appeals, exemptions etc. (Regs 27 to 34)

Transitional arrangements

- Application:
 - Part 2 all transport undertakings (TUs) (except possessions only) and infrastructure managers (IMs);
 - Part 3 (TUs) and (IMs) for all Regs, plus contractors for the duty of cooperation;
 - Part 4 Everyone who does safety critical work

Transitional arrangements

- **ROGS Schedule 5**
 - From 1 Oct. 06 current RSC holders will be 'deemed' to have a certificate and/or authorisation;
 - All RSC holders who are required to have a proper certificate and/or authorisation should have one by 1 Oct. 2008.

Transitional arrangements

Deemed until:

- 1 April 2007 if the triennial review date is between 1 Oct. 2006 and 1 April 2007; or
- The review date, if it is after 1 April 2007 but before 1 October 2008; or
- 1 October 2008 if the review date is after this.

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Transitional arrangements

Date next RSCR periodic review would have fallen due	“Deemed” cert/auth expires and “full” certificate/authorisation is required by	Recommend submit application to HMRI by
Between 1 Oct. 2006 and 1 April. 2007	1 April. 2007	Oct. 2006
Between 1 April. 2007 and 1 Oct. 2008	Date RSC periodic review would have fallen due	6 months before RSC periodic review would have fallen due
After 1 Oct. 2008	1 Oct. 2008	April 2008

Transitional arrangements

- RSC holders: deemed certificate/authorisation
 - Deemed holders:
 - Must continue to comply with their safety cases;
 - Can transfer their deemed cert./auth to a new operator for up to 6 months, regardless of the review date.

Transitional arrangements

- non-RSC holders
 - Non-RSC holders who will need a certificate/authorisation should have one by April 2007
- RSC exemption holders

All RSC exemptions will be valid until April 2007, after which will:

 - Need ROGS SMS only;
eg. heritage with linespeed ≤ 40 kph
 - Need ROGS SMS & certificate/authorisation;
eg. heritage with linespeed > 40 kph
 - Need neither cert./auth or ROGS SMS
eg. cliff railways